

Form PTO-1449 (Modified)		Atty Docket No.: 004688.P016		Serial No.: Not Yet Assigned		J1046 U.S. PTO 09/854314 	
List of Patents and Publications Statement (Use several sheets if necessary)				Applicant: Dan Kikinis			
				Filing Date: Herewith			

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials	Document No.	Document No.	U.S. Patent No.	Class	Sub-Class	Filing date if appropriate
JK	AA	5,929,849	Kikinis			
JK	AB	6,078,348	Klosterman, et al.			
JK	AC	6,072,983	Klosterman			
JK	AD	5,923,362	Klosterman			
JK	AE	5,940,073	Klosterman et al.			
JK	AF	5,828,945	Klosterman			
JK	AG	5,684,525	Klosterman			
JK	AH	5,550,576	Klosterman			
	AI					
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FOREIGN PATENT DOCUMENTS							
No.	Document No.	Date	Country	Class	Sub-Class	Trans-lation	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	AS	
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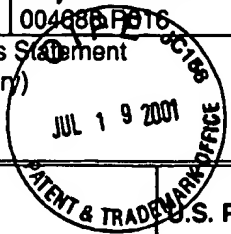
  

Examiner	Date Considered 11/30/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)		Atty Docket No.: 004688.P016		Serial No.: 09/854,334			
<div style="position: relative; height: 100px;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; transform: rotate(-45deg); transform-origin: center; opacity: 0.5; pointer-events: none;">             OFFICE OF PATENTS AND TRADEMARKS JUN 04 2001           </div> </div>				Applicant: Dan Kikinis			
				Filing Date: 05/11/01			
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
Examiner Initials		Document No.		Class	Sub- Class	Filing date if appropriate	
JK	AA	4,621,259	Schepers, et al.				
	AB						
	AC						
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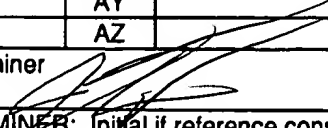
Form PTO-1449 (Modified)		Atty Docket No.: 004885P016		Serial No.: 09/854,334	
List of Patents and Publications Statement (Use several sheets if necessary)				Applicant: Dan Kikinis	
				Filing Date: 05/11/01	



REFERENCE DESIGNATION						
U.S. PATENT DOCUMENTS						
Examiner Initials	Document No.	Document No.	Title	Class	Sub-Class	Filing date if appropriate
JK	AA	5,243,418	Kuno, et al.			
JK	AB	5,999,187	Dehmlow, et al.			
JK	AC	6,243,039	Elliot			
JK	AD	6,061,055	Marks			
JK	AE	6,081,271	Bardon, et al.			
JK	AF	5,704,837	Iwasaki, et al.			
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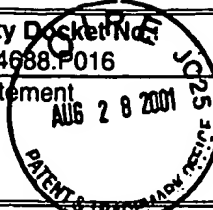
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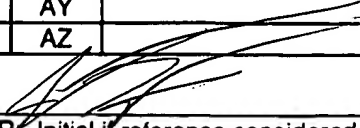
Form PTO-1449 (Modified)		Atty Docket No. 004688.P016		Serial No.: 09/854,334	
List of Patents and Publications Statement (Use several sheets if necessary)				Applicant: Dan Kikinis	
				Filing Date: 05/11/01	



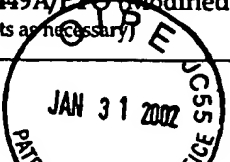
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials	Document No.	Document No.	U.S. Patent No.	Class	Sub-Class	Filing date if appropriate
JL	AA	5,808,613	Marrin, et al.			
JL	AB	6,002,403	Sugiyama, et al.			
JL	AC	6,043,818	Nakano			
JL	AD	5,724,492	Matthews III, et al.			
JL	AE	5,977,964	Williams, et al.			
JL	AF	5,485,197	Hoarty			
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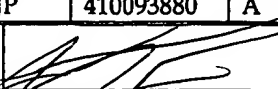
Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 4688.P016	Application Number: 09/854,334
	First Named Inventor: Kikinis, et al.	
	Filing Date: 05/11/2001	

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
SL		5,414,773		Handelman	05-09-1995	
SL		5,479,268		Young et al.	12-26-1995	
SL		5,532,754		Young et al.	07-02-1996	
SL		5,737,028		Bertram et al.	04-07-1998	
SL		5,751,282		Girard et al.	05-12-1998	
SL		5,818,441		Throckmorton et al.	10-06-1998	
SL		5,841,563		Effenberger	11-24-1998	
SL		5,900,915		Morrison	05-04-1999	
SL		5,926,168		Fan	07-20-1999	
SL		5,956,456		Bang et al.	09-21-1999	
SL		5,999,167		Marsh et al.	12-07-1999	
SL		6,029,195		Herz	02-22-2000	
SL		6,075,575		Schein et al.	06-13-2000	
SL		6,167,188		Young et al.	12-26-2000	
SL		6,205,485	B1	Kikinis	03-20-2001	
SL		6,233,389	B1	Barton et al.	05-15-2001	
SL		6,271,831	B1	Escobosa et al.	08-07-2001	

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### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
SL		WO	00/01149	A1	NDS Limited	01-06-2000		
SL		JP	410093880	A	KOMI, et al.	04-10-1998		n
Examiner Signature						Date Considered	11/30/01	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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